

Substitute for form 1449A/B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT***(Use as many sheets as necessary)*Sheet **1** of **10****Complete if Known**

Application Number	09/973,976 (Conf. No. 9820)
Filing Date	October 9, 2001
First Named Inventor	William L. Thomas
Art Unit	2424
Examiner Name	Sahar A. Baig
Attorney Docket Number	UV-207

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		2005/0273819	12-08-2005	Knudson et al.	
		2005/0240968	10-27-2005	Knudson et al.	
		2003/0210898	11-13-2003	Juen et al.	
		2003/0206719	11-06-2003	Bumgardner et al.	
		2005/0204388	09-15-2005	Knudson et al.	
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		2002/0191954	12-19-2002	Beach et al.	
		2004/0181814	09-16-2004	Ellis et al.	
		2002/0174433	11-21-2002	Baumgartner et al.	
		2002/0174430	11-21-2002	Ellis et al.	
		2003/0149988	08-07-2003	Ellis et al.	
		2002/0144279	10-03-2002	Zhou	
		2006/0140584	06-29-2006	Ellis et al.	
		2003/0126607	07-06-2003	Phillips et al.	
		2004/0103434	05-27-2004	Ellis et al.	
		2002/0081096	06-27-2002	Watanabe et al.	
		2002/0059599	05-16-2002	Schein et al.	
		2002/0057893	05-16-2002	Wood et al.	
		2004/0013409	01-22-2004	Beach et al.	
		2003/0009766	01-09-2003	Marolda	
		Re. 34,340	08-10-1993	Freeman	
		RE 36,801	08-01-2000	Logan et al.	
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		4,338,644	07-06-1982	Staer	
		4,339,798	07-13-1982	Hedges et al.	
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		4,496,171	01-29-1985	Cherry	
		4,573,072	02-25-1986	Freeman	
		4,620,229	10-28-1986	Amano et al.	
		4,641,205	02-03-1987	Beyers Jr.	

Examiner Signature	Date Considered
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT***(Use as many sheets as necessary)*Sheet **2** of **10****Complete if Known**

Application Number	09/973,976 (Conf. No. 9820)
Filing Date	October 9, 2001
First Named Inventor	William L. Thomas
Art Unit	2424
Examiner Name	Sahar A. Baig
Attorney Docket Number	UV-207

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,691,351	09-01-1987	Hayashi et al.	
		4,701,794	10-20-1987	Froling et al.	
		4,739,406	04-19-1988	Morton et al.	
		4,789,962	12-06-1988	Berry et al.	
		4,807,052	02-21-1989	Amano	
		4,812,940	03-14-1989	Takenaga	
		4,847,696	07-11-1989	Matsumoto et al.	
		4,847,698	07-11-1989	Freeman	
		4,847,700	07-11-1989	Freeman	
		4,855,833	08-08-1989	Kageyama et al.	
		4,873,584	10-10-1989	Hashimoto	
		4,879,611	11-07-1989	Fukui et al.	
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		4,894,789	01-16-1990	Yee	
		4,908,713	03-13-1990	Levine	
		4,945,563	07-31-1990	Horton et al.	
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		5,068,733	11-26-1991	Bennett	
		5,172,111	12-15-1992	John W. Olivo	
		5,187,589	02-16-1993	Kono et al.	
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		5,210,611	05-11-1993	Yee et al.	
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		5,241,428	08-31-1993	Goldwasser et al.	
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		5,285,284	02-08-1994	Takashima et al.	
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		5,323,234	06-21-1994	Kawasaki	
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		5,412,720	05-02-1995	Hoarty	
		5,416,508	05-16-1995	Sakuma et al.	

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT***(Use as many sheets as necessary)*Sheet **3** of **10****Complete if Known**

Application Number	09/973,976 (Conf. No. 9820)
Filing Date	October 9, 2001
First Named Inventor	William L. Thomas
Art Unit	2424
Examiner Name	Sahar A. Baig
Attorney Docket Number	UV-207

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		5,459,522	10-17-1995	Pint	
		5,479,266	12-26-1995	Young et al.	
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		5,483,278	01-09-1996	Strubbe et al.	
		5,485,197	01-16-1996	Hoarty	
		5,485,219	01-16-1996	Woo	
		5,508,815	04-16-1996	Levine	
		5,523,796	06-04-1996	Marshall et al.	
		5,528,304	06-18-1996	Cherrick et al.	
		5,532,754	07-02-1996	Young et al.	
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		5,539,822	07-23-1996	Lett	
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		5,635,978	06-03-1997	Alten	
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		5,812,937	09-22-1998	Takahisa et al.	

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**INFORMATION DISCLOSURE
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Filing Date	October 9, 2001
First Named Inventor	William L. Thomas
Art Unit	2424
Examiner Name	Sahar A. Baig
Attorney Docket Number	UV-207

U.S. PATENT DOCUMENTS

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		5,822,530	10-13-1998	Brown	
		5,828,945	10-27-1998	Klosterman	
		5,838,314	11-17-1998	Neel et al.	
		5,859,641	01-12-1999	Cave	
		5,880,768	03-09-1999	Lemmons et al.	
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		5,949,954	09-07-1999	Young et al.	
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		5,963,264	10-05-1999	Jackson	
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		6,275,648	08-14-2001	Knudson et al.	
		6,323,911	11-27-2001	Schein	
		6,324,338	11-27-2001	Wood et al.	

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT***(Use as many sheets as necessary)*Sheet **5** of **10****Complete if Known**

Application Number	09/973,976 (Conf. No. 9820)
Filing Date	October 9, 2001
First Named Inventor	William L. Thomas
Art Unit	2424
Examiner Name	Sahar A. Baig
Attorney Docket Number	UV-207

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		6,430,358	08-06-2002	Yuen et al.	
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		6,490,722	12-03-2002	Barton et al.	
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		7,088,910	08-08-2006	Potrebic et al.	
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		7,242,988	07-10-2007	Hoffberg et al.	

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		Country Code ² -Number ² -Kind Code ² (if known)				
		GB 1 370 535	10-16-1974	British Broadcasting Corp.		
		DE 2918846	11-13-1980	Grundig EMV		
		EP 0 051 228	05-12-1982	Kabushiki Kaisha Toshiba		
		DE 3337204	04-25-1985	Telefunken Fernseh & Rundfunk		
		GB 2155713	09-25-1985	RCA Corp.		
		JP 61-109379	05-27-1986	Hitachi Ltd.		
		JP 61-227486	10-09-1986	Nippon Electric Corporation		
		JP 62-008389	01-16-1987	Matsushita Electric Ind. Co. Ltd.		
		JP 62-125077	06-06-1987	Mitsubishi Heavy Ind. Ltd.		
Examiner Signature			Date Considered			

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT***(Use as many sheets as necessary)*

Sheet 6 of 10

Complete if Known

Application Number	09/973,976 (Conf. No. 9820)
Filing Date	October 9, 2001
First Named Inventor	William L. Thomas
Art Unit	2424
Examiner Name	Sahar A. Baig
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		Country Code ² -Number ² -Kind Code ² (if known)				
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		WO 87/00884	02-12-1987	HIB Promotion AB		
		JP 62-49528	03-04-1987	Toshiba Corp.		
		JP 62-60384	03-17-1987	Casio Computer Co Ltd.		
		JP 62-066493	03-25-1987	Sanyo Electric Co.		
		JP 62-198768	09-02-1987	Toshiba Corp.		
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		JP 63-54884	03-09-1988	Mitsubishi Electric Corp.		
		JP 63-141467	06-13-1988	Matsumita Graphic Communic		
		JP 63-247812	10-14-1988	NEC Corp.		
		JP 63-276069	11-14-1988	Fuji Xerox Co. Ltd.		
		JP 63-299582	12-07-1988	Fujitsu General Ltd.		
		WO 89/03085	04-06-1989	FOX		
		JP 1-078328	03-23-1989	Fujitsu Ltd.		
		JP 1-142918	06-05-1989	Matsumita Electric Ind. Co.		
		GB 2210526	06-07-1989	Gold Star Co. Ltd.		
		JP 1-150928	06-13-1989	Nippon Electric Co.		
		JP 1-209399	08-23-1989	Hitachi Ltd.		
		JP 1-212986	08-25-1989	Fujitsu General Ltd.		
		EP 0337336	10-18-1989	RCA Thomson Licensing Corporation		
		GB 2217144	10-18-1989	Tokyo Shibaura Electric Co.		
		JP 2-838892	12-12-1989	Patorama Res KK		
		JP 1-307944	12-12-1989	Patorama Res KK		
		JP 2-113318	04-25-1990	Hitachi Ltd.		
		JP 2-189753	07-25-1990	Sanyo Electric Co. Ltd		
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		GB 2 229 595 A	09-26-1990	Tindall		
		EP 393955	10-24-1990	Sony Corporation		

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Filing Date	October 9, 2001
First Named Inventor	William L. Thomas
Art Unit	2424
Examiner Name	Sahar A. Baig
Attorney Docket Number	UV-207

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		Country Code ² -Number ² -Kind Code ² (if known)	MM-DD-YYYY			
		DE 3921847	01-17-1991	Grundig EMV		
		EP 0 444 496	09-04-1991	Hitachi Ltd.		
		EP 0 447 968	09-25-1991	RCA Licensing Corporation		
		EP 0 488 379	06-03-1992	Matsushita Electric Industrial Co. Ltd.		
		WO 92/22983	12-23-1992	Browne et al.		
		WO 93/23957	11-25-1993	Mankovitz		
		JP 60-61935	03-04-1994	Nippon Electric Co.		
		DE 4240187	06-01-1994	Siemens AG		
		JP8-130517A	05-21-1996	Hiroshi et al		
		WO 96/26605	08-29-1996	Smart VCR Limited Partnership		
		WO 96/36172	11-14-1996	Gemstar Devp. Corp.		
		WO 96/37075	11-21-1996	ACTV, Inc.		
		WO 96/41472	12-19-1996	Starsight Telecast Inc.		
		WO 96/41478	12-19-1996	TV Guide Onscreen		
		WO 97/48230	12-18-1997	Starsight Telecast Inc.		
		WO 98/06219	02-12-1998	StarSight Telecast, Inc.		
		EP 0 836 320	04-15-1998	Matsushita Electric Industrial Co., Ltd.		
		JP 10-247344	09-14-1998	Reem Properties EV		
		JP10-257400A	09-25-1998	Toshiaki		
		WO 98/48566	10-29-1998	Gemstar Devp. Corp.		
		EP 0 940 983	09-08-1999	Matsushita Electric Industrial Co., Ltd.		
		WO 99/45700	09-10-1999	Prevue International Inc.		
		JP 2000-13708	01-14-2000	Ukai et al.		
		WO 00/07368	02-10-2000	TIVO, Inc.		
		WO 00/08850	02-17-2000	Wood et al.		
		WO 00/08851	02-17-2000	Wood et al.		
		WO 00/08852	02-17-2000	Wood et al.		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>					
Sheet	8	of	10		

FOREIGN PATENT DOCUMENTS

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		Country Code ² , Number ³ , Kind Code ⁴ (if known)	MM-DD-YYYY			
		WO 00/28739	05-18-2000			
		JP 2000-138886A	05-16-2000	Hane		
		JP 2000-224533A (01)	08-11-2000	Bersts	•	
		JP 2000-235546A (03)	08-29-2000	Toshiya et al.		
		WO 00/58833	10-05-2000	TIVO, Inc.		
		WO 00/58967	10-05-2000	TIVO, Inc.		
		WO 00/59214	10-05-2000	TIVO, Inc.		
		GB 2 346 251	08-02-2000	IBM		
		WO 99/52279	10-14-1999	TIVO, Inc.		
		WO 00/59223	10-05-2000	TIVO, Inc.		
		WO 00/62298	10-19-2000	TIVO, Inc.		
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		WO 00/62533	10-19-2000	TIVO, Inc.		
		JP 2000-306314A (02)	11-02-2000	Mitsuhiro		
		WO 00/67475	11-09-2000	TIVO, Inc.		
		WO 01/22729	03-29-2001	TIVO, Inc.		
		JP2001-88372A	04-03-2001	Hirofumi		
		JP2001-165669A	06-22-2001	Pioneer Incorporated Co.		
		JP2001-167522A	06-22-2001	Takagi		
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		WO 01/47257 A1	06-28-2001	TIVO, Inc.		
		WO 01/47273 A1	06-28-2001	TIVO, Inc.		
		WO 01/47279 A2	06-28-2001	TIVO, Inc.		
		JP2001-257950A	09-21-2001	Matsushita Electric Industrial Co.		
		WO 01/76239 A2	10-11-2001	United Video Properties, Inc.		
		WO 01/76248 A2	10-11-2001	United Video Properties, Inc.		
		WO 02/078317 A2	10-03-2002	United Video Properties, Inc.		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	r^2
Examiner Signature			Date Considered

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Sheet	9	of	10	Application Number	09/973,976 (Conf. No. 9820)
				Filing Date	October 9, 2001
				First Named Inventor	William L. Thomas
				Art Unit	2424
				Examiner Name	Sahar A. Baig
				Attorney Docket Number	UV-207

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document <small>Country Code²-Number²-Kind Code² (if known)</small>	Publication Date <small>MM-DD-YYYY</small>	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant <small>Passages or Relevant Figures Appear</small>	T ⁶
		"Start Here," Sony, TiVo and DIRECTV (undated).				
		"DIRECTV Receiver with TiVo Viewer's Guide" (1999, 2000).				
		"DIRECTV Receiver with TiVo Installation Guide," Philips (2000).				
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		34, No. 3, pp. 814-818.				
		Philips Consumer Electronics, Users Manual, Matchline 28DC2070, 33DC2080; obtained March 31, 2009				
		Page 12 of Philips TV 21SL5756/00B User Manual; obtained March 31, 2009				
		TV Guide, San Francisco Metropolitan Schedule, Feb. 6, 1989				
		Systems as described in DIP II Advertisement "Program Listings Never Looked So Good", English Translation of A. Bismuth, Vol. 54, No. 3, pp. 46-50, February 8, 2005				
		System as described in Cable Data Advertisement "There's more to one-way addressability than meets the eye"; Vol. 7, No. 30, April 15, 1982.				

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